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SUSAN PRYOR WILLSON
LEWIS D HANSEN
ROBERT R. BRUNGLLI
DOUGLAS W. SWARTZ
BRUCE A KUGLER
BRENT P. JOHNSON
DANA HARTJE CARDWELL
BEWJAMIN B. LIEB
BRADLEY M. KNEPPER
MIRLAM DRICKMAN TRUDELL
SCOTT R. BIALECKI
KENNETH C, WINTERTON
ROBERT D TRAVER, Ph D
CHRISTOPHER J. HUSSIN
MARK L. YASKANIN

### SHERIDAN ROSS

A Professional Corporation
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E-MAIL stlaw@bheridenross.com PATENTS TRADEMARKS COPYRIGHTS

. . KERMITH F. ROSS 1910-1986

OF COUNSEL PHILIP H. SHERIDAN CRAIG C. GROSETH

TECHNICAL SPECIALISTS DENNIS J DUPRAY, Ph.D. ANGELA K DALLAS, Ph.D. CRAIG W. MUELLER

August 26, 2003

#### FACSIMILE COVER SHEET

Please deliver the following page(s) to:

Name:

Examiner Richard Thomas Price, Jr.

U.S. Patent and Trademark Office

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RE:

Patent Application Scrial No. 10/005,797

Attorney Docket No. 3957-8-DIV

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GROUP 3600

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICKE et al.

Serial No.: 10/005,797

Filed: November 2, 2001

Atty. File No.: 3957-8-DIV

For: "METHOD AND APPARATUS FOR )

REMOVING OBSTRUCTIONS IN

MINES"

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Group Art Unit: 3643

Examiner: Price, Richard Thomas Jr.

REQUEST FOR RECONSIDERATION OF ABANDONMENT

CERTIFICATE OF FACSIMILE TRANSMISSION

I HEREBY CERTIFY THAT THIS PAPER IS BEING FACSIMILE TRANSMITTED TO THE PATENT AND TRADEMARK OFFICE ON August 26, 2003, FACSIMILE NO. (703) 305-7687.

Wary Grand DAARA

A Notice of Abandonment was mailed in connection with the above-identified patent application on July 24, 2003. Applicants respectfully traverse the holding of abandonment, on the grounds that a timely response to the Office Action of December 3, 2002 was filed.

)

On February 21, 2003, an Amendment and Response in reply to the Office Action of December 3, 2002, was mailed to the Patent Office under a certificate of mailing in accordance with 37 C.F.R.§ 1.8. A copy of the Amendment and Response is attached as Exhibit A. A postcard receipt submitted to the Patent Office with the Amendment and Response, and date-stamped by the OIPE on February 21, 2003, was received by the office of the Applicant's attorneys on March 6, 2003, and evidences the timely filing of the Amendment and Response (see Exhibit B).

In addition, the office of Applicants' attorneys was contacted by the Examiner by telephone regarding the status of the application. During that telephone conference, it became apparent though the Amendment and Response of February 21, 2003, had not been matched with the patent

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**GROUP** 3600

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FROM

application file. Accordingly, a Request for Consideration of Amendment and Response was filed by Applicants' attorneys under a certificate of mailing dated July 18, 2003. (Exhibit C). A postcard receipt submitted to the Patent Office with the Request for Consideration of Amendment and Response, and date stamped by the OIPE on July 18, 2003, was received by the office of the Applicants' attorneys on July 28, 2003. (Exhibit D).

Because a timely response to the Office Action of December 3, 2002, was filed, there was no abandonment in fact of this patent application. Furthermore, because a timely reply was filed, there is no need to revive the patent application. Instead, the holding of abandonment should be reconsidered and withdrawn, and the Amendment and Response filed on February 21, 2003 should be considered in reply to the Office Action. (See M.P.E.P § 711.03).

The Examiner is invited to contact the undersigned by telephone if doing so would expedite the resolution of this case.

Respectfully submitted,

SHERIDAN ROSS P.C.

Bradley M. Knepper

Registration No. 44,189 1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: August 26, 2003



# EXHIBIT A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:	) Group Art Unit: 3643
MICKE et al.	Examiner: Price, Richard Thomas Jr.
Serial No.: 10/005,797	) <u>AMENDMENT AND RESPONSE</u>
Filed: November 2, 2001	) TEXPRESS MAL! MAILING LABEL NUMBER: EL922668876US OATE OF DEPOSIT: 2/21/03
Atty. File No.: 3957-8-DIV	) I HEREBY CERTIFY THAT THIS WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SEPTICE UNDER 37 C.F.R. 1.10 ON THE DATE
For: "METHOD AND APPARATUS FOR REMOVING OBSTRUCTIONS IN MINES"	
Assistant Commissioner for Patents	

Dear Sir:

Washington, D.C. 20231

Applicant submits this Amendment and Response to address the Office Action having a mailing date of December 3, 2002. Although the Applicant believes that no fees are due for filing this Amendment and Response, please charge any fees deemed necessary to Deposit Account No. 19-1970. Reconsideration and withdrawal of the rejections of the claims are respectfully requested in view of the following amendments and remarks:

#### **AMENDMENTS**

#### IN THE CLAIMS:

Please amend Claim 1 and add new Claims 37-39 as follows:

 (Once Amended) A system for launching a projectile to remove a body of rock in an excavation, comprising:

a projectile that includes:

a nose, the nose being one of substantially flat and concave to inhibit deflection of the projectile from a face of the rock;

a body containing an explosive charge; and

a tail having a plurality of fins to control the trajectory of the projectile;

and

a tube for launching the projectile.

- 37. (New) The system of Claim 1, wherein said nose is concave.
- 38. (New) The system of Claim 1, wherein said nose is substantially flat.
- 39. (New) The system of Claim 1, wherein said nose has a diameter that is about equal to a maximum diameter of said projectile.

#### REMARKS

The above-identified patent application has been reviewed in light of the Examiner's Action dated December 3, 2002. In the amendments set forth above, Claim 1 has been amended without intending to abandon or to dedicate to the public any patentable subject matter, and without narrowing the claim, Claims 37-39 are new, and no claims have been canceled.

Accordingly, Claims 1-8 and 37-39 are now pending. As set out more fully below, reconsideration and withdrawal of the rejections of the claims are respectfully requested.

Claims 1-8 stand rejected under 35 U.S.C. §103 as being unpatentable over U.S. Patent No. 5,485,787 to Bowcutt et al. ("Bowcutt"). In order to establish a prima facie case of obviousness under 35 U.S.C. §103, there must be some suggestion or motivation to modify the reference, there must be a reasonable expectation of success, and the prior art reference must teach or suggest all of the claim limitations. (MPEP §2143). It is submitted that a prima facie case to reject Claims 1-8 has not been established. In particular, and as discussed more fully below, Bowcutt does not teach, suggest or disclose at least the feature of a projectile having a nose that is one of substantially flat and concave. Accordingly, for at least this reason, Claims 1-8 are not obvious in view of Bowcutt.

The Bowcutt reference is generally directed to a gas gun launched scramjet test projectile. The test projectile discussed by Bowcutt is designed to travel at velocities greater than Mach 5.

The projectile is propulsion-assisted and is used to enable the simulation of flow physics and the acquisition of performance data that correlates directly to those of a scramjet powered vehicle.

(Bowcutt, col. 4, Ins. 5-7). Accordingly, the projectile discussed by Bowcutt is concerned with

the integration of the air frame and propulsion systems for vehicles or projectiles traveling at supersonic and hypersonic speeds. (See Bowcutt, col. 1, lns. 27-30). The projectile illustrated by Bowcutt includes a nose cap 101 secured to the forebody 102 to form an external surface that extends from the projectile nose tip 103 rearwardly to the vicinity of the leading edge of the cowl 110. (Bowcurt, col. 5, lns. 5-9). Because the projectile 100 is intended to travel at velocities greater than Mach 5 (Bowcutt Abstract, Claim 1), and because parameters that include the forebody and inlet contraction ratios, the inlet efficiency, etc., impacts the performance of ramjet and scramjet systems (Bowcutt, col. 1, ln. 65 to col. 2, ln. 3), there is no teaching, suggestion or disclosure of a substantially flat or concave nose as recited by the pending claims. In addition, because removal of the nosc 101 would foreshorten the compression surface S and leave an unfinished threaded portion T, Bowcutt cannot be understood as teaching, suggesting or disclosing operation of that reference's projectile without the tip 101. (Bowcutt, col. 4, in. 63 to col. 5, In. 9; Fig. 1). Furthermore, because Bowcutt is concerned with propelling a projectile at velocities of greater than Mach 5, Bowcutt teaches away from a projectile with a nose that is substantially flat or concave, or a nose that is adapted to inhibit deflection of the projectile from a face of rock in an excavation.

For the reasons set forth above, the Bowcutt reference does not teach, suggest or disclose a system for launching a projectile to remove a body of rock in an excavation as claimed.

Furthermore, the Bowcutt reference teaches away from specific structural aspects of the claimed system. Accordingly, the rejections of Claims 1-8 should be reconsidered and withdrawn.

New Claims 37-39 depend from Claim 1, and recite specific projectile nose configurations disclosed by the specification. Therefore, Claims 37-39 are allowable for at least the same reasons that Claim 1 is allowable. Claims 37-39 do not add new matter.

Attached hereto is a marked up version of the changes made to the claims by the current amendment, captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

Based upon the foregoing, Applicants believe that all pending claims are in condition for allowance and such disposition is respectfully requested. In the event that a telephone conversation would further prosecution and/or expedite allowance, the Examiner is invited to contact the undersigned.

Respectfully submitted,

SHERIDAN ROSS P.C.

Ву: / -

Bradley M. Knepper

Registration No. 44,189 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141

(303) 863-9700

Date: Lelm 21,2003

## VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claim 1 has been amended as follows:

 (Once Amended) A system for launching a projectile to remove a body of rock in an excavation, comprising:

a projectile that includes:

a nose, the nose being one of substantially flat and concave to inhibit deflection of the projectile from a face of the rock;

a body containing an explosive charge; and

a tail having a plurality of [transversely oriented] fins to control the trajectory of the projectile; and

a tube for launching the projectile.

Claims 37-39 are new.

# EXHIBIT B

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Initial: AM1 Date 2/21/03

PTO Stamp indicates receipt of: M Patent Matter Trademark Marter Application Docket No.: 3957-8-DIV

Applicant MICKE et al.

Tide or Mark: "METHOD AND APPARATUS FOR REMOVING OBSTRUCTIONS IN MINES"

Serial/Reg. No.: 10/005,797

Filed/Issued Date: November 2, 2001

[] Certificate of Mailing

[X] Express Mail No.: EL823668676US

Check for \$ | 80.00

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

Amendment and Response; Information Disclosure Statement; PTO Form 1449; copies of three references

# EXHIBIT C

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICKE et al.

Serial No.: 10/005,797

Filed: November 2, 2001

Atty. File No.: 3957-8-DIV

"METHOD AND APPARATUS FOR For:

REMOVING OBSTRUCTIONS IN

MINES"

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Group Art Unit: 3643

Examiner: Price, Richard Thomas Jr.

REQUEST FOR CONSIDERATION OF AMENDMENT AND RESPONSE

"EXPRESS MAIL" MAILING LABEL NUMBER: EV 331292390 US DATE OF DEPOSIT: 7/18/03

I HEREEY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE EXPRESS MAIL POST OFFICE TO ADDRESSEE'S SERVICE UNDER 37 C.F.R. 1,10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA. VA 22313-1450. I HEREFY CERTIFY THAT THIS CORRESPONDENCE IS BEING

TYPED OR PRINTED NAME Amy S Duarte

On July 11, 2003, the office of Applicants' attorney was contacted by the Examiner regarding the status of a reply to the Office Action having a mailing date of December 3, 2002. In particular, the Examiner indicated that the Patent Office had no record of having received a reply to that Office Action.

On February 21, 2003, an Amendment and Response in reply to the Office Action of December 3, 2002, was mailed to the Patent Office under a Certificate of Mailing in accordance with 37 CRF § 1.8. A postcard receipt submitted to the Patent Office with the Amendment and Response, and date stamped by the OIPE on February 21, 2003, was received by the office of the Applicants' attorneys on March 6, 2003, and evidences the timely filing of the Amendment and Response (see Exhibit A). The accompanying Declaration of Aimee Thuerk and the attachments thereto also evidence the timely filing of the Amendment and Response (see Exhibit B). A copy of the Amendment and Response submitted on February 21, 2003 is submitted herewith (see Exhibit C).

. FROM

In view of the foregoing, Applicants respectfully request that the Amendment and Response originally submitted on February 21, 2003, be considered timely filed. Although no fees are believed due in connection with the filing of this request, please charge any fees deemed necessary to Deposit Account No. 19-1970.

Respectfully submitted,

SHERIDAN ROSS P.C.

Bradleve4 Kneppe

Registration No. 44,189 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141

(303) 863-9700

Date: July 18,2007

Initial: AM1

Date 2/21/03

PTO Stamp indicates receipt of:

[X] Patent Matter [] Trademark Mate CF/VFD

Application Docket No.: 3957-8-DIV

Applicant: MICKE et al.

Title or Mark: "METHOD AND APPARATUS FOR REMOVING OBSTRUCTIONS IN MINES"

Serial/Reg. No.: 10/005.797

Serial/Reg. No.: 10/005,797

Filed/Issued Date: November 2, 2001

[] Certificate of Mailing

[X] Express Mail No.: EL923668676US

Check for \$ 180.00

# of Pages in Specification # of Pages in Claims

# of Sheets in Drawings # of Pages in Seq. Listing

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

Amendment and Response; Information Disclosure Statement; PTO Form 1449; copies of three

references

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of: Group Art Unit: 3643 MICKE et al. Examiner: Price, Richard Thomas Jr. Serial No.: 10/005,797 DECLARATION OF AIMEE M. THUERK IN SUPPORT OF REQUEST FOR FiJed: November 2, 2001 CONSIDERATION OF AMENDMENT AND RESPONSE Atty. File No.: 3957-8-DIV 'EXPRESS MAIL" MAILING LABEL NUMBER: EV331292390 US For: "METHOD AND APPARATUS FOR DATE OF DEPOSIT. 7/18/03 I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE". SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED AROVE AND IS ADDRESSED, TO THE COMMISSIONER FOR PATENTS, P.O. BOX REMOVING OBSTRUCTIONS IN MINES" Commissioner for Patents 1450, ALEXANDRIA, VA 22313-1450. P.O. Box 1450 TYPED OR PRINTED NAME Alexandria, VA 22313 SIGNATURE: CHIL

Dear Sir:

- I, Aimee M. Thurek, declare and state as follows:
- 1. I am a secretary employed by Sheridan Ross P.C., the attorneys of record for the above-referenced application.
- 2. On February 21, 2003, I prepared an Amendment and Response for signature by Bradley M. Knepper.
- 3. Following Mr. Knepper's signature on the Amendment and Response, I also signed the Certificate of Mailing. I then prepared a stamped, self-addressed return postcard for mailing to the Patent Office. I placed the completed Amendment and Response and completed return postcard in an Express Mail envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, and sealed the envelope. Copies of the February 21, 2003 Amendment and Response (Exhibit A), postcard (Exhibit B), and Express Mail receipt (Exhibit C) are attached. The postcard identifies the Amendment and Response and additional documents submitted with the Amendment

(TUE) 08. 26 03 15:52/ST. 15:42/NO. 3561683282 P 20

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and Response, namely, an Information Disclosure Statement, PTO Form 1449, and copies of references.

- 4. Pursuant to Sheridan Ross P.C.'s procedures, I then personally placed the envelope in the mail department at Sheridan Ross P.C. prior to 5:00 p.m. for Express Mail postage processing and deposit with the United States Post Office.
- 5. The return postcard, date stamped by the OIPE on February 21, 2003, was received by Sheridan Ross P.C. on March 6, 2003. A copy of the date stamped postcard is attached as Exhibit B.

Date: \_ 7- 18-03

Aimee M. Thurck

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICKE et al.

Serial No.: 10/005,797

Filed: November 2, 2001

Atty. File No.: 3957-8-DIV

For: "METHOD AND APPARATUS FOR )

REMOVING OBSTRUCTIONS IN

MINES"

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Group Art Unit: 3643

Examiner: Price, Richard Thomas Jr.

AMENDMENT AND RESPONSE

"EXPRESS MAIL" MAILING LABEL NUMBER: EL923688676US DATE OF DEPOSIT: 2/21/03

I MERGBY CERTIFY THAT THIS WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE WOICLATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS. WASHINGTON, D.C. 20231.

Applicant submits this Amendment and Response to address the Office Action having a mailing date of December 3, 2002. Although the Applicant believes that no fees are due for filing this Amendment and Response, please charge any fees deemed necessary to Deposit Account No. 19-1970. Reconsideration and withdrawal of the rejections of the claims are respectfully requested in view of the following amendments and remarks:

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#### <u>AMENDMENTS</u>

#### IN THE CLAIMS:

Please amend Claim 1 and add new Claims 37-39 as follows:

 (Once Amended) A system for launching a projectile to remove a body of rock in an excavation, comprising:

a projectile that includes:

a nose, the nose being one of substantially flat and concave to inhibit deflection of the projectile from a face of the rock;

a body containing an explosive charge; and

a tail having a plurality of fins to control the trajectory of the projectile;

and

a tube for launching the projectile.

- 37. (New) The system of Claim 1, wherein said nose is concave.
- 38. (New) The system of Claim 1, wherein said nose is substantially flat.
- 39. (New) The system of Claim 1, wherein said nose has a diameter that is about equal to a maximum diameter of said projectile.

#### REMARKS

The above-identified patent application has been reviewed in light of the Examiner's Action dated December 3, 2002. In the amendments set forth above, Claim 1 has been amended without intending to abandon or to dedicate to the public any patentable subject matter, and without narrowing the claim, Claims 37-39 are new, and no claims have been canceled.

Accordingly, Claims 1-8 and 37-39 are now pending. As set out more fully below, reconsideration and withdrawal of the rejections of the claims are respectfully requested.

Claims 1-8 stand rejected under 35 U.S.C. §103 as being unpatentable over U.S. Patent No. 5,485,787 to Bowcutt et al. ("Bowcutt"). In order to establish a prima facie case of obviousness under 35 U.S.C. §103, there must be some suggestion or motivation to modify the reference, there must be a reasonable expectation of success, and the prior art reference must teach or suggest all of the claim limitations. (MPEP §2143). It is submitted that a prima facie case to reject Claims 1-8 has not been established. In particular, and as discussed more fully below, Bowcutt does not teach, suggest or disclose at least the feature of a projectile having a nose that is one of substantially flat and concave. Accordingly, for at least this reason, Claims 1-8, are not obvious in view of Bowcutt.

The Bowcutt reference is generally directed to a gas guin launched scramjet test projectile.

The test projectile discussed by Bowcutt is designed to travel at velocities greater than Mach 5.

The projectile is propulsion-assisted and is used to enable the simulation of flow physics and the acquisition of performance data that correlates directly to those of a scramjet powered vehicle.

(Bowcutt, col. 4, Ins. 5-7). Accordingly, the projectile discussed by Bowcutt is concerned with

the integration of the air frame and propulsion systems for vehicles or projectiles traveling at supersonic and hypersonic speeds. (See Bowcutt, col. 1, lns. 27-30). The projectile illustrated by Bowcutt includes a nose cap 101 secured to the forebody 102 to form an external surface that extends from the projectile nose tip 103 rearwardly to the vicinity of the leading edge of the cowl 110. (Bowcutt, col. 5, Ins. 5-9). Because the projectile 100 is intended to travel at velocities greater than Mach 5 (Bowcutt Abstract, Claim 1), and because parameters that include the forebody and inlet contraction ratios, the inlet efficiency, etc., impacts the performance of ramjet and scramjet systems (Bowcutt, col. 1, ln. 65 to col. 2, ln. 3), there is no teaching, suggestion or disclosure of a substantially flat or concave nose as recited by the pending claims. In addition, because removal of the nose 101 would foreshorten the compression surface S and leave an unfinished threaded portion T, Bowcutt cannot be understood as teaching, suggesting or disclosing operation of that reference's projectile without the tip 101. (Bowcutt, col. 4, ln. 63 to col. 5, In. 9; Fig. 1). Furthermore, because Bowcutt is concerned with propelling a projectile at velocities of greater than Mach 5, Bowcutt teaches away from a projectile with a nose that is substantially flat or concave, or a nose that is adapted to inhibit deflection of the projectile from a face of rock in an excavation.

For the reasons set forth above, the Bowcutt reference does not teach, suggest or disclose a system for launching a projectile to remove a body of rock in an excavation as claimed.

Furthermore, the Bowcutt reference teaches away from specific structural aspects of the claimed system. Accordingly, the rejections of Claims 1-8 should be reconsidered and withdrawn.

New Claims 37-39 depend from Claim 1, and recite specific projectile nosc configurations disclosed by the specification. Therefore, Claims 37-39 are allowable for at least the same reasons that Claim 1 is allowable. Claims 37-39 do not add new matter.

Attached hereto is a marked up version of the changes made to the claims by the current amendment, captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

Based upon the foregoing, Applicants believe that all pending claims are in condition for allowance and such disposition is respectfully requested. In the event that a telephone conversation would further prosecution and/or expedite allowance, the Examiner is invited to contact the undersigned.

Respectfully submitted,

SHERIDAN ROSS P.C.

Bradley M Knepper

Registration No. 44,189 1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

-5-

### VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claim 1 has been amended as follows:

 (Once Amended) A system for launching a projectile to remove a body of rock in an excavation, comprising:

a projectile that includes:

a nose, the nose being one of substantially flat and concave to inhibit deflection of the projectile from a face of the rock;

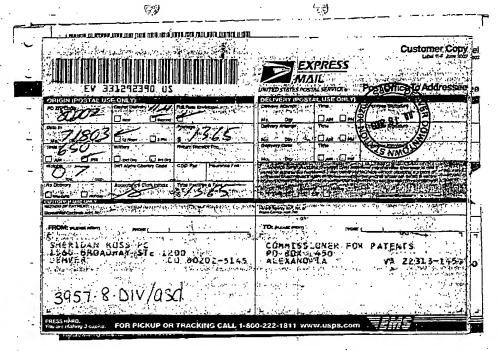
a body containing an explosive charge; and

a tail having a plurality of (transversely oriented) fins to control the trajectory of the projectile; and

a tube for launching the projectile.

Claims 37-39 are new.

## **EXHIBIT D**



TO Stamp Indicates receipt of: [X] Patent Matter [] Trademark Matter Application Docket No.: 3957-8-DIV Applicant MICKE et al.

The or Mark: "METHOD AND APPARATUS FOR REMBYING OBSTRUCTIONS IN MINES E/VED Serial/Reg. No.: 10/055,797

Ted/Issued Date: November 2, 2001

Certificate of Maling

X] Express Mail No.: EV331292390US

Check for S

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

Request for Consideration of Amendment and Response (w/exhibits attached thereto)
(Exhibit A-copy of date stamped postcard receipt)
(Exhibit B-Declaration of Amee M. Thurek)
(Exhibit C-Copy of Amendment and Response)

# **EXHIBIT A**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:	) Group Art Unit: 3643
MICKE et al.	Examiner: Price, Richard Thomas Jr.
Serial No.: 10/005,797	) AMENDMENT AND RESPONSE
Filed: November 2, 2001	) 'EXPRESS MAIL' MAILING LABEL NUMBER: EL923656676US DATE OF DEPOSIT: 2/21/03
Arty, File No.: 3957-8-DIV	) I HEREBY CERTIFY THAT THIS WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE
For: "METHOD AND APPARATUS FOR REMOVING OBSTRUCTIONS IN MINES"	INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20221.  TYPEC OR PRINTED NAME. Almos M. Thuerk:  SIGNATURE: [ 1 M 12 ]
Assistant Commissioner for Patents Washington, D.C. 20231	

Dear Sir:

Applicant submits this Amendment and Response to address the Office Action having a mailing date of December 3, 2002. Although the Applicant believes that no fees are due for filing this Amendment and Response, please charge any fees deemed necessary to Deposit Account No. 19-1970. Reconsideration and withdrawal of the rejections of the claims are respectfully requested in view of the following amendments and remarks:

#### <u>AMENDMENTS</u>

### IN THE CLAIMS:

Please amend Claim 1 and add new Claims 37-39 as follows:

(Once Amended) A system for launching a projectile to remove a body of rock in an excavation, comprising:

a projectile that includes:

a nose, the nose being one of substantially flat and concave to inhibit deflection of the projectile from a face of the rock;

a body containing an explosive charge; and

a tail having a plurality of fins to control the trajectory of the projectile;

and

a tube for launching the projectile.

- 37. (New) The system of Claim 1, wherein said nose is concave.
- 38. (New) The system of Claim 1, wherein said nose is substantially flat.
- 39. (New) The system of Claim 1, wherein said nose has a diameter that is about equal to a maximum diameter of said projectile.

#### REMARKS

The above-identified patent application has been reviewed in light of the Examiner's Action dated December 3, 2002. In the amendments set forth above, Claim 1 has been amended without intending to abandon or to dedicate to the public any patentable subject matter, and without narrowing the claim, Claims 37-39 are new, and no claims have been canceled. Accordingly, Claims 1-8 and 37-39 are now pending. As set out more fully below, reconsideration and withdrawal of the rejections of the claims are respectfully requested.

Claims 1-8 stand rejected under 35 U.S.C. §103 as being unpatentable over U.S. Patent No. 5,485,787 to Bowcutt et al. ("Bowcutt"). In order to establish a *prima facie* case of obviousness under 35 U.S.C. §103, there must be some suggestion or motivation to modify the reference, there must be a reasonable expectation of success, and the prior art reference must teach or suggest all of the claim limitations. (MPEP §2143). It is submitted that a *prima facie* case to reject Claims 1-8 has not been established. In particular, and as discussed more fully below, Bowcutt does not teach, suggest or disclose at least the feature of a projectile having a nose that is one of substantially flat and concave.. Accordingly, for at least this reason, Claims 1-8 are not obvious in view of Bowcutt.

The Bowcutt reference is generally directed to a gas gun launched scramjet test projectile.

The test projectile discussed by Bowcutt is designed to travel at velocities greater than Mach 5.

The projectile is propulsion-assisted and is used to enable the simulation of flow physics and the acquisition of performance data that correlates directly to those of a scramjet powered vehicle.

(Bowcutt, col. 4, Ins. 5-7). Accordingly, the projectile discussed by Bowcutt is concerned with

the integration of the air frame and propulsion systems for vehicles or projectiles traveling at supersonic and hypersonic speeds. (See Bowcutt, col. 1, Ins. 27-30). The projectile illustrated by Bowcutt includes a nose cap 101 secured to the forebody 102 to form an external surface that extends from the projectile nose tip 103 rearwardly to the vicinity of the leading edge of the cowl 110. (Bowcutt, col. 5, lns. 5-9). Because the projectile 100 is intended to travel at velocities greater than Mach 5 (Bowcutt Abstract, Claim 1), and because parameters that include the forebody and inlet contraction ratios, the inlet efficiency, etc., impacts the performance of ramjet and scramjet systems (Bowcutt, col. 1, in. 65 to col. 2, in. 3), there is no teaching, suggestion or disclosure of a substantially flat or concave nose as recited by the pending claims. In addition, because removal of the nose 101 would foreshorten the compression surface S and leave an unfinished threaded portion T, Bowcun cannot be understood as teaching, suggesting or disclosing operation of that reference's projectile without the tip 101. (Bowcutt, col. 4, ln. 63 to col. 5, in. 9; Fig. 1). Furthermore, because Bowcutt is concerned with propelling a projectile at velocities of greater than Mach 5, Bowcutt teaches away from a projectile with a nose that is substantially flat or concave, or a nose that is adapted to inhibit deflection of the projectile from a face of rock in an excavation.

For the reasons set forth above, the Bowcutt reference does not teach, suggest or disclose a system for launching a projectile to remove a body of rock in an excavation as claimed.

Furthermore, the Bowcutt reference teaches away from specific structural aspects of the claimed system. Accordingly, the rejections of Claims 1-8 should be reconsidered and withdrawn.

New Claims 37-39 depend from Claim 1, and recite specific projectile nose configurations disclosed by the specification. Therefore, Claims 37-39 are allowable for at least the same reasons that Claim 1 is allowable. Claims 37-39 do not add new matter.

Attached hereto is a marked up version of the changes made to the claims by the current amendment, captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

Based upon the foregoing, Applicants believe that all pending claims are in condition for allowance and such disposition is respectfully requested. In the event that a telephone conversation would further prosecution and/or expedite allowance, the Examiner is invited to contact the undersigned.

Respectfully submitted,

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#### VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claim 1 has been amended as follows:

- (Once Amended) A system for launching a projectile to remove a body of rock in an excavation, comprising:
- a projectile that includes:
- a nose, the nose being one of substantially flat and concave to inhibit deflection of the projectile from a face of the rock;
  - a body containing an explosive charge; and
- a tail having a plurality of [transversely oriented] fins to control the trajectory of the projectile; and
  - a tube for launching the projectile.

Claims 37-39 are new.

## **EXHIBIT B**

Initial: AM1 PTO Stamp indicates receipt of:

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Date 2/21/03

# of Pages in Specification

# of Pages in Claims

Application Docket No.: 3957-8-DiV

Applicant: MICKE et al.

MICKE

Serial/Reg. No.: 10/005,797 Filed/Issued Date: November 2, 2001

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1 Check for \$ | 50.00

# of Sheets in Drawings

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

Amendment and Response; Information Disclosure Statement; PTO Form 1449; copies of three

## **EXHIBIT C**

